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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	33705-US-PCT
		Application Number	
Title of Invention	GASTRORESISTANT PHARMACEUTICAL DOSAGE FORM COMPRISING N-(2-(2-PHTHALIMIDOETHOXY)-ACETYL)-L-ALANYL-D-GLUTAMIC ACID (LK-423)		
<p>The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.</p> <p>This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.</p>			

Secrecy Order 37 CFR 5.2

☐ Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

Applicant Information:

Applicant 1					Remove
Applicant Authority		<input checked="" type="radio"/> Inventor		<input type="radio"/> Legal Representative under 35 U.S.C. 117	<input type="radio"/> Party of Interest under 35 U.S.C. 118
Prefix	Given Name	Middle Name	Family Name		Suffix
	MARIJA		BOGATAJ		
Residence Information (Select One) <input type="radio"/> US Residency <input checked="" type="radio"/> Non US Residency <input type="radio"/> Active US Military Service					
City	LOGATEC	Country Of Residenceⁱ	SL		
Citizenship under 37 CFR 1.41(b)ⁱ		SL			
Mailing Address of Applicant:					
Address 1		STRANSKA POT 8			
Address 2					
City	LOGATEC	State/Province			
Postal Code	1370	Countryⁱ	SL		
Applicant 2					Remove
Applicant Authority		<input checked="" type="radio"/> Inventor		<input type="radio"/> Legal Representative under 35 U.S.C. 117	<input type="radio"/> Party of Interest under 35 U.S.C. 118
Prefix	Given Name	Middle Name	Family Name		Suffix
	ALES		MRHAR		
Residence Information (Select One) <input type="radio"/> US Residency <input checked="" type="radio"/> Non US Residency <input type="radio"/> Active US Military Service					
City	LJUBLJANA	Country Of Residenceⁱ	SL		
Citizenship under 37 CFR 1.41(b)ⁱ		SL			
Mailing Address of Applicant:					
Address 1		MILANA MAJCNA 35			
Address 2					
City	LJUBLJANA	State/Province			
Postal Code	1000	Countryⁱ	SL		
Applicant 3					Remove
Applicant Authority		<input checked="" type="radio"/> Inventor		<input type="radio"/> Legal Representative under 35 U.S.C. 117	<input type="radio"/> Party of Interest under 35 U.S.C. 118
Prefix	Given Name	Middle Name	Family Name		Suffix
	ANTON		LAVRIC		
Residence Information (Select One) <input type="radio"/> US Residency <input checked="" type="radio"/> Non US Residency <input type="radio"/> Active US Military Service					
City	SKOFLJICA	Country Of Residenceⁱ	SL		

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Title of Invention		GASTRORESISTANT PHARMACEUTICAL DOSAGE FORM COMPRISING N-(2-(2-PHTHALIMIDOETHOXY)-ACETYL)-L-ALANYL-D-GLUTAMIC ACID (LK-423)			

Citizenship under 37 CFR 1.41(b) i		SL	
Mailing Address of Applicant:			
Address 1		GASILSKA 24	
Address 2			
City	SKOFLJICA	State/Province	
Postal Code	1291	Country ⁱ	SL
Applicant 4			Remove
Applicant Authority <input checked="" type="radio"/> Inventor		<input type="radio"/> Legal Representative under 35 U.S.C. 117	
		<input type="radio"/> Party of Interest under 35 U.S.C. 118	
Prefix	Given Name	Middle Name	Family Name
	MANICA		CERNE
Residence Information (Select One) <input type="radio"/> US Residency <input checked="" type="radio"/> Non US Residency <input type="radio"/> Active US Military Service			
City	LG	Country Of Residence ⁱ	SL
Citizenship under 37 CFR 1.41(b) i		SL	
Mailing Address of Applicant:			
Address 1		MATENA 1	
Address 2			
City	LG	State/Province	
Postal Code	1292	Country ⁱ	SL
Applicant 5			Remove
Applicant Authority <input checked="" type="radio"/> Inventor		<input type="radio"/> Legal Representative under 35 U.S.C. 117	
		<input type="radio"/> Party of Interest under 35 U.S.C. 118	
Prefix	Given Name	Middle Name	Family Name
	DORIS		TIBAUT
Residence Information (Select One) <input type="radio"/> US Residency <input checked="" type="radio"/> Non US Residency <input type="radio"/> Active US Military Service			
City	SL	Country Of Residence ⁱ	SL
Citizenship under 37 CFR 1.41(b) i		SL	
Mailing Address of Applicant:			
Address 1		POVSETOVA 104	
Address 2			
City	LJUBLJANA	State/Province	
Postal Code	1000	Country ⁱ	SL
Applicant 6			Remove
Applicant Authority <input checked="" type="radio"/> Inventor		<input type="radio"/> Legal Representative under 35 U.S.C. 117	
		<input type="radio"/> Party of Interest under 35 U.S.C. 118	
Prefix	Given Name	Middle Name	Family Name
	ANTON		STALC
Residence Information (Select One) <input type="radio"/> US Residency <input checked="" type="radio"/> Non US Residency <input type="radio"/> Active US Military Service			
City	LJUBLJANA	Country Of Residence ⁱ	SL
Citizenship under 37 CFR 1.41(b) i		SL	

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	33705-US-PCT
		Application Number	
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Mailing Address of Applicant:				
Address 1		RASISKA 5		
Address 2				
City	LJUBLJANA	State/Province		
Postal Code	1000	Country ⁱ	SL	
Applicant 7				Remove
Applicant Authority <input checked="" type="radio"/> Inventor		<input type="radio"/> Legal Representative under 35 U.S.C. 117		<input type="radio"/> Party of Interest under 35 U.S.C. 118
Prefix	Given Name	Middle Name	Family Name	Suffix
	UROS		URLEB	
Residence Information (Select One) <input type="radio"/> US Residency <input checked="" type="radio"/> Non US Residency <input type="radio"/> Active US Military Service				
City		Country Of Residence ⁱ		
Citizenship under 37 CFR 1.41(b) ⁱ		SL		
Mailing Address of Applicant:				
Address 1		PERICEVA 29		
Address 2				
City	LJUBLJANA	State/Province		
Postal Code	1000	Country ⁱ		
Applicant 8				Remove
Applicant Authority <input checked="" type="radio"/> Inventor		<input type="radio"/> Legal Representative under 35 U.S.C. 117		<input type="radio"/> Party of Interest under 35 U.S.C. 118
Prefix	Given Name	Middle Name	Family Name	Suffix
	TATJANA		MATEOVIC	
Residence Information (Select One) <input type="radio"/> US Residency <input checked="" type="radio"/> Non US Residency <input type="radio"/> Active US Military Service				
City		Country Of Residence ⁱ		
Citizenship under 37 CFR 1.41(b) ⁱ		SL		
Mailing Address of Applicant:				
Address 1		MALNARJEVA 43		
Address 2				
City	LJUBLJANA	State/Province		
Postal Code		Country ⁱ	SL	
Applicant 9				Remove
Applicant Authority <input checked="" type="radio"/> Inventor		<input type="radio"/> Legal Representative under 35 U.S.C. 117		<input type="radio"/> Party of Interest under 35 U.S.C. 118
Prefix	Given Name	Middle Name	Family Name	Suffix
	GRETA		COF	
Residence Information (Select One) <input type="radio"/> US Residency <input checked="" type="radio"/> Non US Residency <input type="radio"/> Active US Military Service				
City		Country Of Residence ⁱ		
Citizenship under 37 CFR 1.41(b) ⁱ		SL		

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Title of Invention	GASTRORESISTANT PHARMACEUTICAL DOSAGE FORM COMPRISING N-(2-(2-PHTHALIMIDOETHOXY)-ACETYL)-L-ALANYL-D-GLUTAMIC ACID (LK-423)		

Mailing Address of Applicant:				
Address 1		ZBILJSKA CESTA 103		
Address 2				
City	MEDVODE	State/Province		
Postal Code	1215	Country ⁱ	SL	
Applicant 10				Remove
Applicant Authority <input checked="" type="radio"/> Inventor		<input type="radio"/> Legal Representative under 35 U.S.C. 117		<input type="radio"/> Party of Interest under 35 U.S.C. 118
Prefix	Given Name	Middle Name	Family Name	Suffix
	JANEZ		KERC	
Residence Information (Select One) <input checked="" type="radio"/> US Residency <input type="radio"/> Non US Residency <input type="radio"/> Active US Military Service				
City	LJUBLJANA	State/Province	Country of Residence ⁱ	SL
Citizenship under 37 CFR 1.41(b) ⁱ		SL		
Mailing Address of Applicant:				
Address 1		TREBINJSKA 7		
Address 2				
City	LJUBLJANA	State/Province		
Postal Code	1000	Country ⁱ	SL	
Applicant 11				Remove
Applicant Authority <input checked="" type="radio"/> Inventor		<input type="radio"/> Legal Representative under 35 U.S.C. 117		<input type="radio"/> Party of Interest under 35 U.S.C. 118
Prefix	Given Name	Middle Name	Family Name	Suffix
	ROK		DREU	
Residence Information (Select One) <input type="radio"/> US Residency <input checked="" type="radio"/> Non US Residency <input type="radio"/> Active US Military Service				
City	SLOVENJ GRADEC	Country Of Residence ⁱ	SL	
Citizenship under 37 CFR 1.41(b) ⁱ		SL		
Mailing Address of Applicant:				
Address 1		POT OB HOMSNICI 23		
Address 2				
City	SLOVENJ GRADEC	State/Province		
Postal Code	2380	Country ⁱ	SL	
Applicant 12				Remove
Applicant Authority <input checked="" type="radio"/> Inventor		<input type="radio"/> Legal Representative under 35 U.S.C. 117		<input type="radio"/> Party of Interest under 35 U.S.C. 118
Prefix	Given Name	Middle Name	Family Name	Suffix
	FUMIO		YONEDA	
Residence Information (Select One) <input type="radio"/> US Residency <input checked="" type="radio"/> Non US Residency <input type="radio"/> Active US Military Service				
City	OSAKA	Country Of Residence ⁱ	JP	
Citizenship under 37 CFR 1.41(b) ⁱ		JP		

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	33705-US-PCT
		Application Number	
Title of Invention	GASTRORESISTANT PHARMACEUTICAL DOSAGE FORM COMPRISING N-(2-(2-PHTHALIMIDOETHOXY)-ACETYL)-L-ALANYL-D-GLUTAMIC ACID (LK-423)		

Mailing Address of Applicant:				
Address 1		UEDA 3-6-20-902, MATSUBARA CITY		
Address 2				
City	OSAKA	State/Province		
Postal Code	580-0016	Country ⁱ	JP	
Applicant 13				Remove
Applicant Authority <input checked="" type="radio"/> Inventor		<input type="radio"/> Legal Representative under 35 U.S.C. 117		<input type="radio"/> Party of Interest under 35 U.S.C. 118
Prefix	Given Name	Middle Name	Family Name	Suffix
	SHIZUKO		MURAOKA	
Residence Information (Select One) <input type="radio"/> US Residency <input checked="" type="radio"/> Non US Residency <input type="radio"/> Active US Military Service				
City	OSAKA	Country Of Residence ⁱ	JP	
Citizenship under 37 CFR 1.41(b) ⁱ		JP		
Mailing Address of Applicant:				
Address 1		UEDA 3-6-20-902, MATSUBARA CITY		
Address 2				
City	OSAKA	State/Province		
Postal Code	580-0016	Country ⁱ	JP	
All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button. Add				

Correspondence Information:

Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).			
<input type="checkbox"/> An Address is being provided for the correspondence Information of this application.			
Customer Number	01095		
Email Address	stephen.auten@novartis.com	Add Email	Remove Email
Email Address	roshanara.dias@novartis.com	Add Email	Remove Email

Application Information:

Title of the Invention	GASTRORESISTANT PHARMACEUTICAL DOSAGE FORM COMPRISING N-(2-(2-PHTHALIMIDOETHOXY)-ACETYL)-L-ALANYL-D-GLUTAMIC ACID (LK-423)		
Attorney Docket Number	33705-US-PCT	Small Entity Status Claimed <input type="checkbox"/>	
Application Type	Nonprovisional		
Subject Matter	Utility		
Suggested Class (if any)		Sub Class (if any)	
Suggested Technology Center (if any)			
Total Number of Drawing Sheets (if any)		Suggested Figure for Publication (if any)	

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Publication Information:
☐ Request Early Publication (Fee required at time of Request 37 CFR 1.219)

☐ Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.
Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Enter either Customer Number or complete the Representative Name section below. If both sections are completed the Customer Number will be used for the Representative Information during processing.

Please Select One: ☒ Customer Number ☐ US Patent Practitioner ☐ US Representative (37 CFR 11.9)

Customer Number 01095

Domestic Priority Information:

This section allows for the applicant to claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c). Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)(4), and need not otherwise be made part of the specification.

Prior Application Status

Remove

Application Number

Continuity Type

Prior Application Number

Filing Date (YYYY-MM-DD)

Additional Domestic Priority Data may be generated within this form by selecting the **Add** button.

Add

Foreign Priority Information:

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

Remove

Application Number

Country ⁱ

Parent Filing Date (YYYY-MM-DD)

Priority Claimed

P200400094

SL

2004-03-26

☒ Yes ☐ No

Additional Foreign Priority Data may be generated within this form by selecting the **Add** button.

Add

Assignee Information:

Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of Title 37 of the CFR to have an assignment recorded in the Office.

Assignee 1

Remove

If the Assignee is an Organization check here.



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Organization Name		LEK PHARMACEUTICALS D.D.			
Mailing Address Information:					
Address 1		Verovskova 57			
Address 2					
City		SLO-1526 Ljubljana		State/Province	
Country	SL			Postal Code	
Phone Number				Fax Number	
Email Address					
Additional Assignee Data may be generated within this form by selecting the Add button. Add					

Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.					
Signature	/STEPHENAUTEN/			Date (YYYY-MM-DD)	2006-09-25
First Name	STEPHEN	Last Name	AUTEN	Registration Number	47396

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.